

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78700

Michihiro SHIBATA

Appln. No.: 10/722,123

Group Art Unit: 1762

Confirmation No.: 4843

Examiner: Kirsten Jolley

Filed: November 26, 2003

For: OPTICAL RECORDING MEDIUM AND METHOD FOR PRODUCING THE SAME

STATEMENT OF SUBSTANCE OF INTERVIEW

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please review and enter the following remarks summarizing the interview conducted on

December 14, 2006:

REMARKS

An Examiner's Interview Summary Record (PTO-413) has not yet been received.

During the interview, the following was discussed:

1. **Brief description of exhibits or demonstration:** None
2. **Identification of claims discussed:** 3, 5 and 7
3. **Identification of art discussed:** Arioka and Shiau et al
4. **Identification of principal proposed amendments:** Applicants proposed to

amend claim 3 as shown in the attached Amendment and to add a new claim directed to the subject matter of new claim 14 as in the attached Amendment.

5. **Brief Identification of principal arguments:** Applicants pointed out that the Arioka reference merely mentions that the substrate may be either stationary or turning when the coating solution is dropped through the discharge nozzle onto the substrate and then is turned at a high speed to remove the unnecessary solution, but does not mention a specific speed at either stage. Applicants also pointed out that Shiau et al at best suggests a technique wherein the dye solution is dispensed on the substrate while rotating the substrate at 1,500 to 2,500 rpm and then the rotation speed of the substrate is maintained at 1,000 to 1,500 rpm and finally the substrate is rotated as a speed of 3,000 to 5,000 rpm. Therefore, even if combined, the present invention, wherein the substrate is rotated at a rotation speed of 400 rpm to 1000 rpm during a period from the beginning of the supply of the dye solution to the end of the supply of the dye solution (based on the proposed amendment to claim 3), would not have been achieved.

Applicants further argued that the references did not teach or suggest the concentration of the dye solution or recognize the advantageous effects of this feature .

6. **Indication of other pertinent matters discussed:** None

7. **Results of Interview:** No agreement was reached.

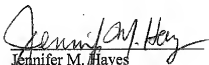
It is respectfully submitted that the instant STATEMENT OF SUBSTANCE OF INTERVIEW complies with the requirements of 37 C.F.R. §§1.2 and 1.133 and MPEP §713.04.

Statement of Substance f Interview
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It is believed that no petition or fee is required. However, if the USPTO deems otherwise, Applicant hereby petitions for any extension of time which may be required to maintain the pendency of this case, and any required fee, except for the Issue Fee, for such extension is to be charged to Deposit Account No. 19-4880.

Respectfully submitted,


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: January 3, 2007